

Docket No. MBI-0032

TECH CENTER 1600/2000 IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Broun, Pierre

Title:

METHOD FOR MODIFYING A BIOSYNTHETIC PATHWAY

Serial No.: 09/810,836

Filing date:

16th March, 2001

Examiner: Katherine Davis

Group Art Unit:

1635

Commissioner of Patents and Trademarks

Washington, D.C. 20231

STATEMENT UNDER 37 C.F.R. § 1.10

Dear Sir:

I hereby certify that the Information Disclosure Statement and a total of nineteen (19) references accompanying this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on 1 November, 2001, Express Mail label number EV 041 967 663 US and is addressed to the Commissioner for Patents, Washington, DC 20231.

Respectfully submitted,

Date:

1st November, 2001

By:

Matthew R. Kaser, D.Phil.

Registration No. 44,817

Mendel Biotechnology, Inc. 21375 Cabot Blvd. Hayward, California 94545 Phone: (510) 259-6120

Fax: (510) 264-0254

PTO/SB/17 (10-01) Approved for use through 10/31/2002. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Complete if Known TRANSMITTAL Application Number 210 Filing Date 120 NOV 0 1 2001 for FY 2002 ß First Named Inventor **Examiner Name** fent fees are subject to annual revision. Group Art Unit TOTAL AMOUNT OF PAYMENT (\$) Attorney Docket No 8 I - 0027 **METHOD OF PAYMENT** FEE CALCULATION (continued) The Commissioner is hereby authorized to charge 3. ADDITIONAL FEES 1. 🔯 indicated fees and credit any overpayments to: Large Small Deposit **Entity Entity** Account 501025 Number Fee Fee Fee Fee Paid **Fee Description** Code (\$) Code (\$) Deposit Account 105 130 205 Biotechnolog 65 Surcharge - late filing fee or oath Name 50 127 227 25 Surcharge - late provisional filing fee or Charge Any Additional Fee Required cover sheet Under 37 CFR 1.16 and 1.17 139 130 139 130 Non-English specification Applicant claims small entity status See 37 CFR 1.27 147 2,520 147 2,520 For filing a request for ex parte reexamination Payment Enclosed: 920* 112 112 920 Requesting publication of SIR prior to Money Order Credit card Check Examiner action Other 113 1.840* 113 1.8401 Requesting publication of SIR after **FEE CALCULATION** Examiner action 110 215 55 Extension for reply within first month 1. BASIC FILING FEE 400 216 200 Extension for reply within second month Large Entity Small Entity Fee Description Fee Fee Fee 920 217 460 Extension for reply within third month Fee Paid Code (\$) Code (\$) 118 1.440 218 720 Extension for reply within fourth month 740 201 370 Utility filing fee 101 128 1.960 228 980 Extension for reply within fifth month 106 330 206 165 Design filing fee 119 320 219 160 107 510 207 Plant filing fee Notice of Appeal 255 320 220 160 Filing a brief in support of an appeal 108 740 208 370 Reissue filing fee Request for oral hearing 160 214 80 Provisional filing fee 121 280 221 140 1.510 138 1.510 Petition to institute a public use proceeding SUBTOTAL (1) 140 110 240 55 Petition to revive - unavoidable 2. EXTRA CLAIM FEES Petition to revive - unintentional 141 1,280 241 640 Fee from Fee Paid Extra Claims 142 1.280 242 640 Utility issue fee (or reissue) below Total Claims Х -20** 143 460 243 230 Design issue fee Independent - 3** 144 620 244 310 Plant issue fee Claims Multiple Dependent 122 130 122 130 Petitions to the Commissioner 123 50 123 50 Processing fee under 37 CFR 1.17(q) Large Entity Small Entity 126 180 126 180 Submission of Information Disclosure Stmt Fee Description Fee Code (\$) Code (\$) 581 40 581 40 Recording each patent assignment per 103 18 203 9 Claims in excess of 20 property (times number of properties) 42 Filing a submission after final rejection (37 CFR § 1.129(a)) 102 202 84 Independent claims in excess of 3 146 740 246 370 280 104 204 140 Multiple dependent claim, if not paid 740 249 370 For each additional invention to be ** Reissue independent claims 109 84 209 42 examined (37 CFR § 1.129(b)) over original patent 110 18 210 9 ** Reissue claims in excess of 20 179 740 279 370 Request for Continued Examination (RCE) and over original patent 169 900 169 900 Request for expedited examination of a design application l(\$) Other fee (specify) SUBTOTAL (2) (\$) **or number previously paid, if greater; For Reissues, see above *Reduced by Basic Filing Fee Paid SUBTOTAL (3) SUBMITTED BY Complete (if applicable)

Registration No. MATTHEW R. KASER Name (Print/Type) Telephone 44,817 (Sic) 259-6120 (Attorney/Agent) Signature Date

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
no persons are required to respond to a collection of information unless it displays a valid OMB control number. RANSMITTAL Complete if Known **Application Number** for FY 2002 Filing Date 0 1 2001 First Named Inventor **Examiner Name** Patent fees are subject to annual revision. Group Art Unit TOTAL AMOUNT OF PAYMENT (\$) Attorney Docket No. MBI-0032

METHOD OF PAYMENT	FEE CALCULATION (continued)		
1. The Commissioner is hereby authorized to charge indicated fees and credit any overrayments to:	3. ADDITIONAL FEES		
indicated fees and credit any overpayments to: Deposit	Large Small		
Account SOIO25	Entity Entity Fee	5 5	
	Code (\$) Code (\$)	Fee Paid	
Deposit Account Name Mendel Biotechnology, Inc.	105 130 205 65 Surcharge - late filing fee or oath		
Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17	127 50 227 25 Surcharge - late provisional filing fee or cover sheet		
Applicant claims small entity status. See 37 CFR 1.27	139 130 139 130 Non-English specification		
2. Payment Enclosed:	147 2,520 147 2,520 For filing a request for ex parte reexamination		
Check Credit card Money Order Other	112 920* 112 920* Requesting publication of SIR prior to Examiner action		
FEE CALCULATION	113 1,840* 113 1,840* Requesting publication of SIR after Examiner action		
1. BASIC FILING FEE	115 110 215 55 Extension for reply within first month		
Large Entity Small Entity	116 400 216 200 Extension for reply within second month		
Fee Fee Fee Fee Description Code (\$) Code (\$) Fee Paid	117 920 217 460 Extension for reply within third month		
101 740 201 370 Utility filing fee	118 1,440 218 720 Extension for reply within fourth month		
106 330 206 165 Design filing fee	128 1,960 228 980 Extension for reply within fifth month		
107 510 207 255 Plant filing fee	119 320 219 160 Notice of Appeal		
108 740 208 370 Reissue filing fee	120 320 220 160 Filing a brief in support of an appeal		
114 160 214 80 Provisional filing fee	121 280 221 140 Request for oral hearing		
SUBTOTAL (1) (\$)	138 1,510 138 1,510 Petition to institute a public use proceeding		
	140 110 240 55 Petition to revive - unavoidable		
2. EXTRA CLAIM FEES Fee from	141 1,280 241 640 Petition to revive - unintentional		
Extra Claims below Fee Paid	142 1,280 242 640 Utility issue fee (or reissue)		
Total Claims20** = X = Independent	143 460 243 230 Design issue fee		
Claims	144 620 244 310 Plant issue fee		
Multiple Dependent	122 130 122 130 Petitions to the Commissioner		
Large Entity Small Entity	123 50 123 50 Processing fee under 37 CFR 1.17(q)		
Fee Fee Fee Fee Description	126 180 126 180 Submission of Information Disclosure Stmt		
Code (\$) Code (\$) 103 18 203 9 Claims in excess of 20	581 40 581 40 Recording each patent assignment per property (times number of properties)		
102 84 202 42 Independent claims in excess of 3	146 740 246 370 Filing a submission after final rejection		
104 280 204 140 Multiple dependent claim, if not paid	(37 CFR § 1.129(a))		
109 84 209 42 ** Reissue independent claims over original patent	149 740 249 370 For each additional invention to be examined (37 CFR § 1.129(b))		
110 18 210 9 ** Reissue claims in excess of 20	179 740 279 370 Request for Continued Examination (RCE)		
and over original patent	169 900 169 900 Request for expedited examination of a design application]	
SUBTOTAL (2) (\$)	Other fee (specify)		
**or number previously paid, if greater; For Reissues, see above *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)			

SUBMITTED BY	BMITTED BY Complete (if applicable)		
Name (Print/Type)	MATTHEW R. KASER	Registration No. 44,817 (Attorney/Agent)	Telephone (Sic) 259-6120
Signature			Date